

16563 U.S. PTO
012104

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No.	0026711.00007
		First Inventor	Stuart T. Smith
		Title	POSITIONING DEVICE EMPLOYING...
		Express Mail Label	EV333678141US

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: <i>Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450</i>	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>		8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
3. <input checked="" type="checkbox"/> Specification <i>[Total Pages</i> 23 <i>]</i> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 		a. <input type="checkbox"/> Computer Readable Form (CRF)	
4. <input checked="" type="checkbox"/> Drawing(s) <i>(35 U.S.C. 113)</i> <i>[Total Sheets</i> 9 <i>]</i>		b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R <i>(2 copies)</i>; or ii. <input type="checkbox"/> Paper 	
5. Oath or Declaration <i>[Total Pages</i> 1 <i>]</i> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application <i>(37 CFR 1.63(d))</i> <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 		c. <input type="checkbox"/> Statements verifying identity of above copies	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
		10. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input checked="" type="checkbox"/> Power of Attorney	
		11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
		12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
		13. <input type="checkbox"/> Preliminary Amendment	
		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
		16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 <i>(b)(2)(B)(i)</i> . Applicant must attach form PTO/SB/35 or its equivalent.	
		17. <input type="checkbox"/> Other:	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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<table border="1"> <tr> <td>Name</td> <td colspan="4"></td> </tr> <tr> <td>Address</td> <td colspan="4"></td> </tr> <tr> <td>City</td> <td>State</td> <td colspan="2">Zip Code</td> <td></td> </tr> <tr> <td>Country</td> <td>Telephone</td> <td colspan="2">Fax</td> <td></td> </tr> </table>					Name					Address					City	State	Zip Code			Country	Telephone	Fax		
Name																								
Address																								
City	State	Zip Code																						
Country	Telephone	Fax																						

Name (Print/Type)	James D. Wright	Registration No. (Attorney/Agent)	43,291
Signature	Jan D. Wright		
Date	January 21, 2004		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

\$560.00

Complete if Known

Application Number	To Be Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Stuart T. Smith
Examiner Name	To Be Assigned
Art Unit	To Be Assigned
Attorney Docket No.	0026711.00007

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number **18-1215**

Deposit Account Name **Kennedy Covington**

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	
1001 770	2001 385	Utility filing fee	385.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\$) \$385.00	
2. EXTRA CLAIM FEES FOR UTILITY AND DESIGN PATENTS			
Extra Claims	Fee from below	Fee Paid	
Total Claims 35	-20** =	15 X 9.00 = 135.00	
Independent Claims 2	- 3** =	0 X 0.00 = 0.00	
Multiple Dependent			
3. ADDITIONAL FEES			
Large Entity	Small Entity	Fee Description	
Fee Code (\$)	Fee Code (\$)	Fee Description	
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$) \$135.00	
*or number previously paid, if greater; For Reissues, see above			
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	
		(\$) \$40.00	

SUBMITTED BY

Complete if applicable

Name (Print/Type)	James D. Wright	Registration No. (Attorney/Agent)	43,291	Telephone	704-331-7400
Signature				Date	January 21, 2004

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Stuart T. Smith et al.**

Docket No.

0026711.00007Serial No.
To Be AssignedFiling Date
Concurrently HerewithExaminer
To Be AssignedGroup Art Unit
To Be AssignedInvention: **POSITIONING DEVICE EMPLOYING A PLATFORM, LEVERING MECHANISM AND FLOATING ACTUATOR DEVICE**

I hereby certify that the following correspondence:

Application with 23 pages of specification and 9 drawing sheets*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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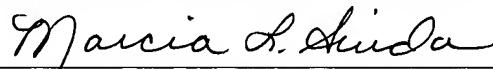
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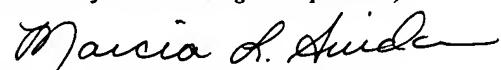
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0026711.00007Serial No.
To Be AssignedFiling Date
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To Be AssignedGroup Art Unit
To Be AssignedInvention: **POSITIONING DEVICE EMPLOYING A PLATFORM, LEVERING MECHANISM AND FLOATING ACTUATOR DEVICE**

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Applicant(s): Stuart T. Smith et al.

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To Be AssignedGroup Art Unit
To Be AssignedInvention: **POSITIONING DEVICE EMPLOYING A PLATFORM, LEVERING MECHANISM AND FLOATING ACTUATOR DEVICE**

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Docket No.

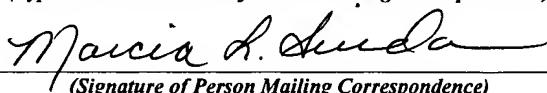
0026711.00007

Serial No. To Be Assigned	Filing Date Concurrently Herewith	Examiner To Be Assigned	Group Art Unit To Be Assigned
Invention: POSITIONING DEVICE EMPLOYING A PLATFORM, LEVERING MECHANISM AND FLOATING ACTUATOR DEVICE			

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